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PTO/SB/62 (09-03)

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Application Number	10/012,207	
Filing Date	11/05/2001	
First Named Inventor	GOEL	
Art Unit	2661	
Examiner Name	UNKNOWN	
Attorney Docket Number	AVAN/001004	

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Name	Brian Kinard, General Counsel & Vice President, Legal Affairs									
Signature										
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Brian Kinard

Printed or Typed Name

General Counsel & Vice President, Legal Affairs
Title

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STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: VIBHA GOEL Attorney Docket No.: AVAN/001004 Application No./Patent No.: 10/012,207 Filed/Issue Date: 11/05/2001 Entilled: OPTICAL ROUTERS AND REDUNDANCY Avanex Comoration Delaware Corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) (Name of Assignee) states that it is: the assignee of the entire right, title, and interest; or \boxtimes an assignee of less than the entire right, title, and interest The extent (by percentage) of its ownership interest is _ in the patent application/patent identified above by virtue of either. A. An assignment from the Inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _ , or for which a copy thereof is attached. OR A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: 1. From: Vibha Goel To: Vitesse Semiconductor Corporation The document was recorded in the United States Patent and Trademark Office at Reel 012720, Frame 0816, or for which a copy thereof is attached. 2. From: Vitesse Semiconductor Corporation To: Avanex Corporation The document was recorded in the United States Patent and Trademark Office at _, Frame ____, or _____ for which a copy thereof is attached. Reel 3. From: To:_ The document was recorded in the United States Patent and Trademark Office at __, or for which a copy thereof is attached. . Frame Additional documents in the chain of title are listed on a supplemental sheet. Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, If the assignment is to be recorded in the records of the USPTO. See MPEP 302.081 is supplied below) is authorized to act on behalf of the assignee. Date

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including patienting, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer. U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT ASSIGNMENT



This Patent Assignment ("Assignment") is made as of August 28, 2003 by Vitesse Semiconductor Corporation, a Delaware corporation having a place of business at 741 Calle Plano Camarillo, California 93012, United States of America ("Assignor"), to Avanex Corporation, a Delaware corporation having a place of business at 40919 Encyclopedia Circle, Fremont, California 94538, United States of America ("Assignee").

RECITALS

- A. Assignor and Assignee have entered into an Asset Purchase Agreement dated as of August 21, 2003 (the "Purchase Agreement").
- B. Assignor owns the patent(s) issued by the United States Patent and Trademark Office and certain foreign patent and trademark offices, as well as patent applications filed with the United States Patent and Trademark Office and certain foreign patent and trademark offices, as set forth on Exhibit A hereto (the "Patents").
- C. Pursuant to the Purchase Agreement, Assignor desires to assign to Assignee all of Assignor's right, title and interest in and to the Patents.

AGREEMENT

NOW, THEREFORE, in consideration of the foregoing premises, the mutual covenants and agreements contained in the Purchase Agreement and the covenants and agreements in this Assignment and to induce Assignee to consummate the transactions contemplated by the Purchase Agreement, Assignor agrees as follows:

Assignee all of Assignor's right, title and interest in and to the Patents (and regarding Patents that are patent applications, any patents that may issue therefrom), including any foreign counterparts, divisionals, continuations in whole or in part, reexaminations, reissues or extensions thereof, and the right to claim priority to any of the preceding, the same to be held by Assignee for Assignee's own use and enjoyment, and for the use and enjoyment of Assignee's successors, assigns and other legal representatives, as fully and entirely as the same would have been held and enjoyed by Assignor if this Assignment and sale had not been made; together with all claims for damages by reason of past infringements of the Patents, along with the right to sue for and collect such damages for the use and benefit of Assignee and its successors, assigns and other legal representatives.

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2. Assignor hereby authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any officer of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of intellectual property protection or applications as aforesaid, to issue the same to Assignee and its successors, assigns and other legal representatives in accordance with the terms of this instrument.

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IN WITNESS WHEREOF, Assignor has executed this Assignment on the date first above written.

Vitense Semiconductor Corporation

Acknowledgment by Notary Public

Country of USA

comy/ City of Ventural Canarillo

y of Jugust 2003 before me, the undersigned Notary day of august Public, personally appeared to me on the basis of satisfactory condenses) to be the person whose same is subscribed to the within instrument, and acknowledged to see that he or she executed the same.

Seal:

, Notary Public



EXHIBIT A

VITESE SEMICONDUCTOR CORPORATION
OPTICAL SYSTEMS DIVISION
(FORMERLY VERSATILE OPTICAL NETWORKS, INC.)
CLIENT No.: 11971
CONFIDENTIAL

COP



APPLICANT TITLS STATUS SERIAL, NO. SERIAL, NO. HA GOEL. OFFICAL ROUTERS UTILITY APPL. SERIAL CONVERSION OF SIZPEL AND ADDUNDANCY PATENT SIGNIL CONVERSION OF SIZPEL AND ADDUNDANCY PATENT SIGNIL CONVERSION OF SIZPEL AND SEPTIMENT SIGNIL CONVERT TO SERIAL CONDUCT SIZES.

MATTER

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